

309,094  
DT-6718

DT-3788



07-03-06 AF

3628

TFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: James MacPherson  
SERIAL NO. 09/716,189  
FILED: November 17, 2000  
FOR: System and Methods for Trading Mutual Funds  
EXAMINER: Clement B. Graham Group: 3628

Mail Stop **AMENDMENT**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COMMUNICATION**

Sir:

This is a response to the Notice of Non-Compliant Amendment dated June 22, 2006 and setting forth a one-month period for response. The Notice points out that the drawings (Figs. 2a and 2c) attached to the amendment filed February 7, 2006 do not bear a proper identifier in the top margin.

Applicant submits herewith the drawing sheets (3) with Figs. 2a and 2c bearing an identifier "Replacement Sheet".

Allowance of the application is respectfully requested.

Respectfully submitted,

Alexander Zinchuk

Alexander Zinchuk  
Reg. No. 30,541

Date: June 30, 2006

Abelman, Frayne & Schwab  
666 Third Avenue, 10th Floor  
New York, NY 10017-5621  
212-885-9383

This correspondence is being deposited with the United States Postal Service on June 30, 2006 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **ER 059 676 961 US** addressed to the Honorable Commissioner for Patents, Alexandria, VA 22313-1450.

Alexander Zinchuk  
Alexander Zinchuk